|  | Certificate No.:21284979-4684996-020<br>INTERIM SAFETY MANAGEMENT CERTIFICATE<br>sued under the provisions of the INTERNATIONAL CONVENTION<br>FOR THE SAFETY OF LIFE AT SEA, 1974, as amended   |
|--|---|
|  | under the authority of the Government of  |
|  | Republic of the Marshall Islands (name of state)  |
|  | by the AMERICAN BUREAU OF SHIPPING  |
| Name of Ship:  | CONSCIENCE  |
| Distinctive Number or Letters:   | 9272  |
| Port of Registry:  | Majuro  |
| Type of Ship <sup>1</sup> :  | Other Cargo Ship Container Carrier  |
| Gross Tonnage:   | 9972  |
| IMO Number:  | 9865867   |
| Name and address of the<br>Company:  | ISM SHIP MANAGEMENT PTE LTD   |
| an a   | 33 UBI Ave 3, #03-03 VERTEX   |
|  | 408868 Singapore  |
| Document of Compliance/I <del>nterir</del><br>This Interim Safety Manager  | 2   |
| Document or Compliance/  | erim Document of Compliance remaining valid.  |
|  | (place of issue of the document)  |
| AB   | Bate of Issue:       16 March 2021         Electronically Signed By       Xu, Jian-Hua, CN Ningbo Port (MLO)         (Surveyor, American Bureau Of Shipping)         (Signature of the duly authorized official issuing the certificate)  |
| The validity of this Interim Sa  | fety Management Certificate is extended to  |
|  | Date Extension:   |
|  | (Surveyor, American Bureau Of Shipping)   |
| <ol> <li>Insert the type of ship from among</li> <li>Chemical Tanker; Gas Carrier; M</li> <li>Delete as appropriate</li> </ol> | (Signature of the duly authorized official issuing the certificate)<br>(Signature of the duly authorized official issuing the certificate)<br>the following: Passenger Ship; Passenger High Speed Craft; Cargo High Speed Craft; Bulk Carrier; Oil Tanker;<br>lobile Offshore Drilling Unit; Other Cargo Ship. For "Other Cargo Ship", specify detail type in adjacent field. |
| ISM-SMC-INTERIM-DUAL   | REV 102.00 Page 1 of 1  |



## **Preliminary Report**

Vessel Name: Work Order: 4684996 First Visit Date: Last Visit Date: ABS Office: Audit Location: Zhoushan

CONSCIENCE 15-Mar-2021 16-Mar-2021 CN Ningbo Port (MLO)

### ISM Audit Report

| Vessel Name               | CONSCIENCE                             |
|---------------------------|--|
| Class Number              | 21284979                               |
| IMO Number                | 9865867                                |
| Flag State Name           | Republic of the Marshall Islands       |
| SOLAS Type of Vessel      | Cargo Ship                             |
| ISM Vessel Type           | Other Cargo Ship                       |
| Agreement Company Name    | ISM SHIP MANAGEMENT PTE LTD            |
| Agreement Company Address | 33 UBI Ave 3, #03-03 VERTEX, 408868 SG |
| Agreement Company IMO     | 5576575                                |
| DOC Issuer                | American Bureau of Shipping            |
| DOC Funing Data           | 20 Jun 2021                            |

DOC Expiry Date 29-Jun-2021

The ABS audit team gathered objective evidence of compliance through the observation of activities, interviews of personnel, and the examination of pertinent records. This information was compared to the existing policies and procedure of the company and the requirements of the ISM Code to determine the levels of compliance obtained. This evidence was documented within the audit team's checklists and this report. The preliminary report including audit findings, if any, was left with the Master at the conclusion of the audit.

| Audit Task           | Task Status                              |                       | Non-Conformities Issued |                               | Observation Issued             |  |
|----------------------|--|-----------------------|-------------------------|-------------------------------|--------------------------------|--|
| Statutory Surveys    |  |                       |                         |                               |                                |  |
| ISM Interim Audit    | Completed                                | Ν                     | lo                      | No                            |                                |  |
| Certificate Number   | Certificate Description                  | Certificate<br>Status | Certificate<br>Term     | Date of Cert<br>Issue/Endorse | Expiry Date/<br>Extension Date |  |
| 21284979-4684996-020 | Interim Safety<br>Management Certificate | Issued                | Interim                 | 16-Mar-2021                   | 15-Sep-2021                    |  |

### Audit Program

The audit began with an opening meeting. The discussion covered the scope and purpose of the audit, the audit schedule and process, and the escort responsibilities. The audit was performed in accordance with ABS procedures and as authorized under the above scope. The ABS audit team gathered objective evidence of compliance through the observation of daily activities, interviews of crew members, and the sampling of pertinent records on board the ship. This information was compared to the existing policies and procedures of the company and the requirements of the ISM Code to determine the levels of compliance obtained. The Audit Plan depicts the departments audited and clauses against which they were assessed.

The audit ended with a closing meeting. The discussion included a review of the activities carried out, presentation of the non-conformances (if any), and review of the notable Observations made during the course of the audit.

#### A copy of the preliminary report, non-conformances and audit observations, if any, were left with the ship's Master at the conclusion of the audit. The final report supersedes the preliminary.

NOTE: This report evidences that the survey reported herein was carried out in compliance with one or more of the Rules, guides, standards or other criteria of the only that the vessel, structure, item of material equipment, machinery or any other item covered by this Report has been examined for compliance with, or has met one or more of the Rules, guides, standards or other criteria of American Bureau of Shipping. The validity, applicability and interpretation of this Report is governed by the Rules and standards of American Bureau of Shipping who shall remain the sole judge thereof. Nothing contained in this Report or in any notation made in contemplation of this Report shall be deemed to relieve any designer, builder, owner, manufacturer, seller, supplier, repairer, operator or other entity of any warranty express or implied. This audit report must be retained, by the company, for a period not less than five years and must be made available to ABS auditor(s) upon request.



# **Preliminary Report**

Vessel Name:CONSCIENCEWork Order:4684996First Visit Date:15-Mar-2021Last Visit Date:16-Mar-2021ABS Office:CN Ningbo Port (MLO)Audit Location:Zhoushan

| Opening<br>Meeting Date | 15-Mar-2021  |
|-------------------------|--|
| Closing<br>Meeting Date | 16-Mar-2021  |
| General                 | Interim ISM audit was carried out as request related to vessel new delivery from shipyard.   |
|                         | At the time of this audit, vessel was at Zhoushan Tsuneishi shipyard under preparation for sea.  |
|                         | Marshall Islands flag administration special requirement was verified.   |
|                         | This vessel is Bulk Carrier, and managed by ISM SHIP MANAGEMENT PTE LTD  |
|                         | Declaration of Company/DPA were submitted to Marshall Islands flag administration, records were verified on board.   |
|                         | The following were verified during this audit:   |
|                         | 1. Vessel's class and statutory certificates was checked on 16 March 2021, found to be in order. Valid company DOC with its endorsement issued by ABS, valid until 29 June 2021, covering vessel's type Other Cargo Ship—Container Carrie was verified on board. |
|                         | 2. Company's management manual in hard copy written in English was verified on board.  |
|                         | 3. Crew complement was compliance with the requirements of Minimum safe manning certificate. Sampling of crew licenses /documents found vessel was manned with certified/qualified/ medical fitness seafarers.   |
|                         | 4. DPA and ADPA contact details were posted at common area. Interviewed with ship's crew, revealed that they were aware of DPA's role and contact information.   |
|                         | 5. Muster list specified ship's personal duties on fire /abandon ship has been posted at public area. Abandon ship and fire drills were carried out on 14 March 2021, records were verified.   |
|                         | 6. Shipboard familiarization training was carried out on 14 March 2021, record was verifiable.   |
|                         | <ol><li>Emergency drill schedule covering identified potential emergency shipboard situations has been prepared. Company<br/>emergency response Team is organized, contact details were available.</li></ol>   |
|                         | 8. Master and senior officers are generally familiar with SMS and the planning of its implementation through safety training/drill/exercise and internal audit.  |
|                         | 9. Crew members are from China, communication among ship's personnel was found effectively.  |
|                         | 10. Relevant nautical publications and flag administration circulars (RLM-300) were checked randomly, found to be in order.  |
|                         | 11. Internal audit has been scheduled to be conducted within three months.   |
|                         | 12. Walk about the ship was carried out during this audit, no obvious defect was found.  |
|                         | 13. Company's Safety, Quality and Environment protection policy signed by company General Manager was posted at common area for crew reference.  |
|                         | At the end of this audit, Interim SMC valid until 15 September 2021 was issued on behalf of flag administration.   |



## **Preliminary Report**

Vessel Name: Work Order: First Visit Date: Last Visit Date: ABS Office: Audit Location:

CONSCIENCE 4684996 15-Mar-2021 16-Mar-2021 CN Ningbo Port (MLO) Zhoushan

Audit Conclusion Approved.

Attending Auditor(s): Xu, Jian-Hua

